

Subscription Form

Superfund Green Gold SPC

Superfund Green Gold Segregated Portfolio A – Class A

Superfund Green Gold Segregated Portfolio B – Class B

■ fundadministration@superfund.com

■ www.superfund.com

SUPERFUND
THE FUTURE OF INVESTING

Superfund Green Gold SPC

■ HOW TO COMPLETE THE SUBSCRIPTION FORM

- **Subsequent subscription:** For subsequent subscriptions the required documents (as stated in Section 2 of the Due Diligence Requirements for Subscribers) have to be provided in case of changes regarding the data or the bank account details of the applicants.

■ DATA

- **First applicant:** If just one applicant subscribes for shares of Superfund Green Gold SPC, only the fields for the first applicant need to be completed.
- **Second (joint) applicant:** If the subscription includes a second applicant (joint account), please indicate your personal data in the fields for the first applicant and the data of the second applicant in the fields for the second applicant.
Please note: In case of two applicants, the transaction authority is joint. Full Due Diligence Requirements as set out in Section 2.2. of the Anti-Money Laundering Regulations will be applied for the second applicant if the subscription amount is transferred from an account in the name of the first applicant.
- **Mailing address:** Please only fill in your mailing address if it differs from the residence address as indicated above.

■ BANK ACCOUNT DETAILS

Subscriptions are payable by wire transfer (MT103 or equivalent) in USD/EUR. Any payment by swift or wire transfer must be payable to the registrar or its appointed agent, noting the reference "SUPERFUND GREEN GOLD SEGREGATED PORTFOLIO A/B – CLASS A/B (USD)". THE NAME(S) OF THE HOLDER(S) ON THE ORIGINATING BANK ACCOUNT FOR THE SUBSCRIPTION FUNDS MUST BE THE SAME AS THE APPLICANT(S), OTHERWISE THE SUBSCRIPTION WILL NOT BE ACCEPTED.

■ APPLICATION FOR SHARES – MINIMUM INVESTMENT

Minimum Investment Sum	Initial Subscription	Subsequent Subscriptions
Superfund Green Gold Segregated Portfolio A – Class A (USD)	5,000 USD	5,000 USD
Superfund Green Gold Segregated Portfolio B – Class B (USD)	50,000 USD	5,000 USD

Please select only one class of shares (A or B). A separate application form must be used for each class of shares.

IMPORTANT! For class A and B shares the subscription charge (sales fee) is up to 7%. Example: To make an investment of USD 5,000, you must pay an additional subscription charge of USD 350 and thus the total amount to be transferred is USD 5,350.

■ SUBSCRIPTION DATES

Application requests, all necessary documents and payment for the purchase of shares must be received by the administrator no later than one business day prior to the relevant subscription day, or such other date determined by the directors. Please contact the relevant Superfund office for the next subscription day.

The subscription day is normally the first business day after each valuation day. The valuation day is normally the last business day before each Wednesday of every week. However, in the last week of each month, the valuation day is the last business day of the month.

■ NECESSARY DOCUMENTS

The following documents have to be received by the administrator no later than one business day prior to the subscription day:

(In the case of subscriptions sent by fax, the original completed and signed subscription form has to be sent on promptly.)

- 1) **The signed original of this subscription form.**
- 2) **A certified copy of a valid identification (identity card or passport) from each applicant.**
- 3) **A certified copy of a proof of address (utility bill, rates bill, bank statement, tax form)**
- 4) **The original of the completed Investment Analysis Form/Investment Profile (only applicable for citizens of the European Economic Area)**
- 5) **Risk Consideration form**
- 6) **Self certification form**

■ REDEMPTION OF SHARES

To initiate a redemption, a formal request for the redemption of shares must be received by the administrator at least one business day before the relevant redemption day, or such other date determined by the directors. The redemption request must be sent by fax (original to follow by registered mail) at the liability of the shareholder. In respect of class A and B shares, where redemptions are made within twelve (12) months of initial subscription, a redemption charge of two percent (2%) of the redemption price will be charged. Redemptions will not be processed until all original subscription applications and redemption requests have been received by the administrator.

Superfund Green Gold SPC

Company chop / Partner number

SUBSCRIPTION FORM

Please fill in the subscription form legibly. Please read the terms of the Offering Memorandum.

Initial subscription Subsequent subscription: Holder ID

DATA

	First applicant	Second (joint) applicant
	<input type="checkbox"/> Ms. <input type="checkbox"/> Mr. <input type="checkbox"/> Company	<input type="checkbox"/> Ms. <input type="checkbox"/> Mr. <input type="checkbox"/> Company
Surname/Company	<input type="text"/>	<input type="text"/>
First name	<input type="text"/>	<input type="text"/>
Occupation	<input type="text"/>	<input type="text"/>
Residence address	<input type="text"/>	<input type="text"/>
ZIP code, city	<input type="text"/>	<input type="text"/>
Country	<input type="text"/>	<input type="text"/>
Mailing address (only at alternative mailing address)	<input type="text"/>	<input type="text"/>
ZIP code, city	<input type="text"/>	<input type="text"/>
Country	<input type="text"/>	<input type="text"/>
Nationality	<input type="text"/>	<input type="text"/>
Date of birth	D D M M Y Y	D D M M Y Y
Telephone/Fax	<input type="text"/>	<input type="text"/>
E-mail	<input type="text"/>	<input type="text"/>

BANK ACCOUNT DETAILS

Bank name (from where the money will be wired)

Account name

Bank Account number Swift/BIC-Code

IBAN

APPLICATION FOR SHARES OF THE BELOW SELECTED SUPERFUND GREEN GOLD SPC

- Superfund Green Gold Segregated Portfolio A – Class A ISIN KYG8586M1096 (min. 5,000 USD for initial investment, min. 5,000 USD for subsequent subscriptions)
- Superfund Green Gold Segregated Portfolio B – Class B ISIN KYG8586M1179 (min. 50,000 USD for initial investment, min. 5,000 USD for subsequent subscriptions)

Subscription sum + 7%* subscription charge = amount to wire

USD	Correspondent Bank: BNY Mellon, New York Branch / BIC-code: IRVTUS3N Receiving Bank: BNY Mellon, London Branch / BIC-code: IRVTGB2X	
For further credit to:	Superfund Green Gold SPC – Class A (USD)	Superfund Green Gold SPC – Class B (USD)
Account Number:	4773778400	4773788400
IBAN:	GB17IRVT70022547737700	GB33IRVT70022547737800

I/We acknowledge having received and reviewed a copy of the Offering Memorandum of Superfund Green Gold SPC, a Cayman Islands exempted limited liability Segregated Portfolio Company (the Fund), and such other documents of the Fund and its investment adviser a Company set up under the laws of Grenada, W.I., (the Investment Adviser) as I/we or my/our representatives and advisers have requested. I/We hereby confirm that all the details I/we have submitted are correct and undertake to notify any changes to the Fund. I/We am/are aware of the chances and risks of derivative investment products and of the risk of a total loss of the total investment amount. Losses of value ("drawdowns") of 20%–30% from the respective all-time-high in the case of Superfund Green Gold Segregated Portfolio A – Class A (USD) and 30%–40% from the respective all-time-high in the case of Superfund Green Gold Segregated Portfolio B – Class B (USD), can periodically occur depending on prevailing market conditions. Additionally substantial losses of the gold price may occur. I/We understand that past performance is not indicative of future results of the Fund. **Online performance report:** I/We expressly agree to the enclosed declaration of consent regarding the granting of access to my/our financial data via the internet. This declaration may be revoked at any time. A non-acceptance may be indicated by crossing out these terms on this application form.

Note for corporate and/or institutional investors: The Administrator should be provided with a current authorised signatory list. In the absence of such list or booklet, the above signatories will be required to sign for all purposes including, but not limited to, transfer and redemptions instructions.

FATCA Please tick this box if you are not to be considered as US person for tax purposes or a US citizen. In this case, please confirm your country for tax purposes: _____

Date _____ Signature of 1st applicant _____

Date _____ Signature of 2nd applicant _____

Superfund Green Gold SPC

FINAL DECLARATIONS

1. I/we hereby confirm that I/we have taken notice of the current Offering Memorandum of Superfund Green Gold Segregated Portfolio A/B – Class A/B (the “Fund”), a segregated Portfolio of Superfund Green Gold SPC (the “Company”) and I/we explicitly acknowledge the content.
2. I/we hereby confirm that all the details I/we have submitted are correct and undertake to notify any changes to the Company.
3. This application is irrevocable. I/we undertake to transfer for good value the subscription monies for this application in the correct currency to the correct account as indicated herein. The application shall be settled on the next valuation day on which the payment has been received in whole and in due time, in accordance with the provisions of the sales prospectus. I/we have to bear any impact of such late payment and the Company or its agent shall not be liable for any loss or damages arising herefrom.
4. This application form may be sent by fax. The Company or its agent shall not be liable for having accepted a subscription made in such manner. I/we undertake, at my/our own expense, to forward the original application form immediately by priority express mail or express courier to the attention of the Company or its agent.
5. The Company or its agent may refuse any application received or cancel an application accepted by fax, should the original application form not be received within five business days following the relevant valuation date. I/we understand that the Company shall not bear the financial impact of such cancellation. The Company or its agent may take any judicial or non-judicial action against me/us or any representative in the case of a cancellation following such non-receipt.
6. The subscription monies for this application do not derive from illegal activities as described in the applicable laws and regulations relating to the prevention of money laundering. I/we agree that in the case this application is not accompanied by the documents required under such laws and regulations the application may be suspended until the valuation date when all such documents have been received by the Company or its agent in a form acceptable to the Company or its agent.
7. I/we hereby confirm that I/we am/are the beneficial owner of the transferred money. The name of the holder on the originating bank account for the subscription funds must be the same as the subscriber, otherwise the subscription will not be accepted by the registrar or its appointed agent.
8. I/we agree that my/our subscription is subject to allocation and acceptance or rejection by the Company, in whole or in part, in its sole discretion.
9. I/we agree that, if the Company accepts the subscription, it shall be bound by each and every provision of the Offering Memorandum and Articles of Association, as amended from time to time.
10. I/we acknowledge and agree that: (I) I/we are eligible to subscribe for the participating shares under the applicable laws or exemptions to the laws of my/our jurisdiction (“eligible investors”), (II) the participating shares subscribed for have not been registered under the U.S. Securities Act of 1933, as amended (the “Act”); and (III) the offering of participating shares in the Fund is limited to “eligible investors” who are non-U.S. Persons (“U.S. Persons” is defined below).
11. I/we represent that I/we am/are not a U.S./Canadian Person, and as such, represent that (I) I/we am/are not a citizen, resident or entity organised under the laws of the United States of America/Canada, its territories or possessions (hereinafter, collectively referred to as the “United States”/“Canada”); (II) the beneficial owner of the participating shares purchased is not a United States/Canadian citizen, resident or entity; (III) if the beneficial owner of the participating shares is a publicly-held investment corporation, to the best of the knowledge of the owner or transferee, none of the beneficial interests in the participating shares of such corporation are owned, directly or indirectly through foreign entities, by any citizen, resident or entity of the United States/Canada; (IV) if the beneficial owner of the participating shares is a closely-held corporation, none of the beneficial interest in the participating shares of such corporation are owned, directly or indirectly through foreign entities, by any citizen, resident or entity of the United States/Canada; (V) I/we will not transfer directly or indirectly any of its participating shares or any interest therein (including without limitation any right to receive dividends or other distributions) to a United States/Canadian citizen, resident or entity; (VI) I/we did not acquire nor will I/we transfer any of its participating shares within the United States/Canada; (VII) I/we did not engage and will not engage in any activity relating to the sale by me/us of my/our participating shares in the United States/Canada; and (VIII) if any of the foregoing representations cease to be true, the owner or transferee will promptly notify the Company of the facts pertaining to such changed circumstances.
12. I/we represent that I/we am/are not a citizen or resident of the Cayman Islands.
13. I/we acknowledge that (a) I/we have received a copy of the Offering Memorandum of the Fund, have read and understood its provisions and am/are familiar therewith, and that all documents, records and books pertaining to the proposed investment in the Fund requested by me/us have been made available to me/us; (b) I/we and my/our representative(s) and advisers have had an opportunity to ask questions of and receive answers from the Company and the investment adviser (or its agents) concerning the terms and conditions of this investment in the Fund; (c) all such questions have been answered to my/our and to my/our representative(s) and advisers full satisfaction; (d) I/we am/are not relying upon any information or representations other than as expressly contained in the Offering Memorandum; and (e) I/we, together with my/our financial, legal and tax advisers, have conducted my/our own independent analysis of the financial, legal and tax risks involved in or resulting from an investment in the Fund.
14. I/we represent that I/we, together with my/our representative(s) and advisers, have sufficient knowledge and experience in financial, legal and tax matters to be capable of evaluating the merits and risks of an investment in the Fund and to make an informed investment decision with respect thereto.
15. I/we represent (if I/we am/are not a natural person) that (I) I/we was/were not formed solely for the purpose of investing in the Fund; (II) no more than 20% of my/our assets are being and will be invested in the Fund; and (III) none of my/our partners, shareholders or other beneficiaries or owners have the right to elect not to participate in an investment of the Fund, or to be consulted regarding non-participation in an investment of the Fund.
16. I/we represent that I/we have sufficient means to bear the economic risk of losing the entire investment in the Fund.
17. I/we represent that I/we am/are purchasing the participating shares in the Fund for my/our own account and not with a view toward resale or redistribution.
18. I/we agree that if any of the statements, representations, warranties or covenants made herein by me/us become untrue or inaccurate, I/we shall immediately at such time notify the Fund in writing.
19. I/we acknowledge that the application form is not transferable or assignable and agree that it will not be altered, amended, modified, terminated or rescinded except in writing executed by the Company (on behalf of the relevant Fund) and me/us.
20. I/we agree to indemnify and hold harmless the Company, its managers, directors and officers and the Administrator, the investment adviser, their principals, members and managers, and the Fund

from and against any loss, liability, cost or expense (including attorneys’ fees, taxes and penalties) which may result, directly or indirectly, from any misrepresentation or breach of any warranty, condition, covenant or agreement set forth herein or in any other document delivered by me/us to the Company or the Fund.

21. If an entity organised under the laws of the Cayman Islands, I/we represent that (I) neither the Company, the investment adviser, the distributor nor anyone associated with the Company directly or indirectly solicited my/our investment in the Fund; (II) I/we requested that the Company furnish me/us with a copy of the Offering Memorandum and the application form; (III) I/we, without the direct or indirect solicitation by the Company, the investment adviser or the distributor approached the investment adviser seeking the opportunity to invest in the Fund; and (IV) I/we have not been invited to subscribe for participating shares of the Fund.
22. I/we acknowledge that due to anti-money laundering requirements, the Administrator and/or the Company shall require proof of identity before the application can be processed and the Company, the Fund and/or the Administrator shall be held harmless and indemnified against any loss ensuing due to the failure to process this application, if such information as has been required by the Company, the Fund and/or the Administrator has not been provided by me/us.
23. I/we agree that the information contained herein, as well as any other personal information provided to the Fund, may be documented, altered or used in any way by the Fund. The uses of such information will be exclusively for the purposes of the transaction and development of business relation as well as the advertisement of Superfund investment products. The subject information may be shared and used by the financial advisers of the Fund and other companies affiliated with the Fund (e.g. external data processing center, dispatch center or collecting agencies).
24. I/we acknowledge and agree that, in the event of any transfer of my/our shares to a transferee, I/we shall (in the event that it was previously exempted from doing so) be required to provide to the Administrator such due diligence information and documentation as applicable to me/us pursuant to the application form and as otherwise required by the Administrator and that any such transfer of shares shall be not valid until all required due diligence information and documentation (regarding both me/us, as transferor, and any transferee) has been received by the Administrator to its full satisfaction.
25. I/we acknowledge and agree that I/we may be required to provide due diligence information or documentation upon request by the Fund and/or the Administrator. If the Fund and/or the Administrator shall require due diligence, the application can only be processed upon receipt of all requested documents. The Fund and/or the Administrator shall be held harmless and indemnified against any loss ensuing due to the delay to process this application, if such information has been required by the company, the Fund and/or the Administrator.
26. Joint beneficiaries are deemed to hold the shares as joint tenants. If one or more joint shareholder(s) dies, the surviving shareholder(s) will continue to own all of the shares.
27. I/we acknowledge that personal information may have been provided and may, from time to time be provided, in respect to my/our subscription and dealings with the Company or its agents and that I/we have the right to request access and /or correction of such information that may be held by the Company or its agents at the contact detail provided in the Subscription Form.
28. I/we agree that any information or documents relating to myself / ourselves or to my/our account may be disclosed to and/or permitted to be used by any agent of the Company or to any companies, subsidiaries or related companies of the Company’s agent within or outside the Cayman Islands for the prevention of money laundering activities, or for providing any financial or administrative services related to my holding and account with the Company.
29. I/we agree that the Company or its agents can disclose my/our information to third parties where necessary or for legitimate business purposes which may include auditors, the Cayman Islands Monetary Authority or other relevant regulators for compliance with local or overseas regulatory requirements.

DUE DILIGENCE

Anti-Money Laundering

1. General

Measures aimed at the prevention of money laundering may require subscribers in the Funds to verify their identity to the Administrator. Depending on the circumstances of each such application, verification may not be required where the subscriber makes the payment from an account held in the applicant’s name at a recognised financial institution, or the application is made through a recognised intermediary. These exceptions will only apply if the financial institution or intermediary referred to above is within an “Approved Country” (defined in the schedule below) as determined by the Administrator.

The Administrator will notify applicants if proof of identity is required and, in such case, the specific guidelines below regarding proof of identity will be applicable. By way of example, individual subscribers may be required to produce a copy of a passport or identification card duly certified by a public authority such as a notary public, the police or the ambassador of their country of residence, together with evidence of any address such as a utility bill or bank statement. In the case of corporate applicants this may require production of a certified copy of the certificate of incorporation (and any change of name) and of the memorandum and articles of association (or equivalent), and of the names and residential and business addresses of all directors and beneficial owners.

The details given above are by way of example only and the Company and the Funds have authorised the Administrator to request such information and documentation as is necessary to verify the identity of a subscriber. In the event of delay or failure by the applicant to produce any information required for verification purposes, the Administrator may refuse to accept the application and any subscription monies until all requested information has been provided.

Each subscriber acknowledges that the Administrator shall be held harmless against any loss arising as a result of a failure to process an application for, or redemption of, shares if such information and documentation as has been requested by the Administrator has not been provided by the applicant. Exemptions to the identification requirements do exist and will be adopted where appropriate by the Administrator.

2. Due diligence requirements for subscribers

2.1. Exemption for subscription debited from bank account in an Approved Country:

If payment for subscriptions is to be made by any electronic means which is effective to transfer funds and the payment is clearly or on the face of it debited from an account in the subscriber’s name at a bank registered in the Cayman Islands or that is regulated in and either based or incorporated in or formed under the laws of an “Approved Country” (defined in the schedule below), then no further identification is required unless specifically requested.

All investors that are companies, partnerships, unincorporated businesses or trusts must provide the

Superfund Green Gold SPC

Administrator with an original or certified true copy of the register of members, partners, trustees or beneficiaries (or a list thereof, if no register exists) to enable the Administrator to identify the ultimate beneficial owners. At the discretion of the Administrator, further due diligence may be required for such ultimate beneficial owners.

2.2. General identification requirements:

Where a subscriber is unable to comply with Section 1 above, original or certified true copies of the following identification will be required:

A) For individuals:

- (I) evidence of true name, signature and date of birth and photographic identification (validity of document should not be older than ten (10) years);
- (II) evidence of permanent address;
- (III) reference from a bank with which the individual maintains a current relationship and has maintained such a relationship for at least three years; and
- (IV) Individual Profile (attached).

B) For companies:

- (I) copy of certificate of incorporation and any change of name certificate;
- (II) Memorandum & Articles of Association with a Financial Reference Letter for the corporation;
- (III) certificate of good standing;
- (IV) register or other acceptable list of directors and officers;
- (V) properly authorised mandate of the company to subscribe in the form, for example, of a certified resolution which includes naming authorised signatories;
- (VI) a description of the nature of the business of the company;
- (VII) identification as described for individuals above of at least 2 directors and authorised signatories;
- (VIII) register of members or list of shareholders holding a controlling interest;
- (IX) identification as described above for individuals who are beneficial owners of corporate shareholders holding 10% or more of the share capital; and
- (X) Individual Profile for at least 2 directors (attached).

C) For partnerships and unincorporated businesses:

- (I) a copy of any certificate of registration and certificate of good standing, if registered;
- (II) identification, as described for individuals and, where relevant, companies above of a majority of the partners, owners or managers and the authorised signatories;
- (III) a copy of the mandate from the partnership or business authorising the subscription in the form, for example, of a certified resolution which includes naming authorised signatories; and
- (IV) a copy of any constitutional documents.

D) For trustees:

- (I) identification, as described above for individuals or companies (as the case may be) in respect of the trustees;
- (II) identification, as described above for individuals, in respect of beneficiaries, any person on whose instructions or in accordance with whose wishes the trustee/nominee is prepared or accustomed to act and of the settlor of the trust; and
- (III) evidence of the nature of the duties or capacity of the trustee.

Exemptions to the identification requirements do exist and will be adopted where appropriate by the Administrator.

Schedule

"Approved Country" means a country that the Administrator recognises as having equivalent legislation to Cayman law and regulations. The current "Approved Countries" are:

List of "Approved Countries":

Australia	Canada	Hong Kong	Luxembourg	Spain
Austria	Denmark	Iceland	Malta	Sweden
Bahamas	Finland	Ireland	Netherlands	Switzerland
Bahrain	France	Isle of Man	Netherlands Antilles	United Kingdom
Barbados	Germany	Italy	New Zealand	USA
Belgium	Gibraltar	Japan	Norway	
Bermuda	Greece	Jersey	Portugal	
British Virgin Islands	Guernsey	Liechtenstein	Singapore	

Fund Facts

■ Name	Superfund Green Gold SPC
■ Auditor	BDO Cayman
■ Subscription and redemption	weekly
■ Subscription charge	up to 7%
■ Recommended holding period	at least 6 years
■ NAV published at	www.superfund.com
■ Redemption fee (within 1 year)	2%
■ Fee	3% management fee + 1.8% distribution fee = 4.8% p.a.
■ Currency	USD

Superfund Green Gold Segregated Portfolio A – Class A (USD) ISIN: KYG8586M1096 CUSIP G8586M 10 9 Incentive fee: 20% of the realized trading profits

Minimum initial subscription/subsequent subscription 5,000 USD/5,000 USD

Superfund Green Gold Segregated Portfolio B – Class B (USD) ISIN: KYG8586M1179 CUSIP G8586M 11 7 Incentive fee: 25% of the realized trading profits

Minimum initial subscription/subsequent subscription 50,000 USD/5,000 USD

Activation of Online Performance Report / Declaration of Consent

I/we hereby expressly agree to the electronic processing and transfer of the below described data regarding my/our investment in any Superfund financial product, as well as making these data accessible via internet through any Superfund entity (hereinafter collectively referred to as "Superfund group of investment companies"), as described below. This declaration of consent may be revoked at any time. It also applies to the transfer of data by the issuer of the respective Superfund financial product to the Superfund group of investment companies. This especially refers to the following financial data (hereinafter called "financial data"):

- Date of subscription/redemption of the respective Superfund financial product
- Type of product
- Price per share at subscription/redemption date
- Value of the respective subscription/redemption ("transactions")
- Number of subscribed/redeemed or held shares
- Absolute and relative performance since subscription
- Realized/unrealized profit/loss in absolute and relative figures

My/our financial data will only be made accessible electronically via the internet if the declaration of consent in the application form has been signed by one (in case of a joint account where the signature of just one of the applicants is required for any transaction) or both (in case of a joint account where the signature of both applicants is required for any transaction) investors. Only anonymized financial data will be made available via the internet for the respective investor. Name, address, date of birth, and place of residence of the investor(s) will not be made available. Only the product-related financial figures without any direct reference to an individual person will be available. I/we furthermore expressly agree that all financial data, including the name of the respective investor(s), will be available for those companies (intermediaries) which have effected the investment in the respective Superfund financial product. This also includes companies whose services/consultancy regarding my/our investment in any Superfund financial product have explicitly been required.

I/we are aware that this declaration of consent may be revoked at any time without providing a reason. The above mentioned financial data are made available free-of-charge. No responsibility is taken for the completeness and correctness of the data contents by the Superfund group of investment companies and related companies (hereinafter collectively referred to as "Superfund group of investment companies"). The above mentioned data are made available without any (legal) obligation by the Superfund group of investment companies. I/we are aware of and agree to the provision of the mentioned (electronically processed) financial data (including name) to the above mentioned companies in order to advise me/us on our investment, and in order to deal with any claims, subject to private law, asserted by these companies to the Superfund group of investment companies.

All financial data will only be accessible via the internet after entering my/our user identification, or the user identification of the respective company, and the respective unique password. The mentioned financial data are made available via the internet free-of-charge and on a voluntary basis by the Superfund group of investment companies and may be revoked permanently or temporarily through one of the parties. Any liability on part of the Superfund group of investment companies is expressly excluded, as well as claims by the investor(s) towards the Superfund group of investment companies in connection with making the mentioned financial data available on the internet. This also applies to cases where the data was not (completely) made available on the internet.

The Superfund group of investment companies is furthermore not liable for an improper use of the financial data by unauthorized persons, unless it occurs due to a deliberate or grossly negligent, unlawful violation by any Superfund company of its legal and contractual obligations. Liability regarding any losses is hereby explicitly excluded. It is well understood that this declaration of consent is not part of the respective agreement(s) or the contractual relationship(s) which forms the basis of the shareholder's investment in any Superfund financial product.

If any of the provisions of this declaration of consent is or becomes ineffective or unfeasible, this does not affect the effectiveness of the remaining provisions. In such a case the ineffective or unfeasible provision will be replaced by a legally allowed and effective or feasible provision, which leads to the same result originally intended by the applicant and which does not violate any legal provisions.

Upon acceptance of your subscription you will receive your original Transaction Confirmation and the password for accessing your online performance report.

Individual Self-Certification

EN1015

To

Superfund

Account No.

INSTRUCTIONS FOR COMPLETION

We are obliged under the Foreign Account Tax Compliance Act (FATCA), related intergovernmental agreements (“IGAs”) and agreements authorising the automatic exchange of information to collect certain information about each investor’s tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that in certain circumstances we may be obliged to share this information with relevant tax authorities.

Terms referenced in this form shall have the same meaning as applicable under the relevant IGAs, local Regulations and/or local Guidance Notes. Copies of the IGAs are available on the US Department of the Treasury’s website at: <http://www.treasury.gov/resource-center/tax-policy/treaties/Pages/FATCA-Archive.aspx>; and Copies of the Agreement to Implement International Tax Compliance are available on the UK Department of the HM Revenue & Customs website at: <https://www.gov.uk/government/publications/automatic-exchange-of-information-agreements-other-uk-agreements/automatic-exchange-of-information-agreements-other-uk-agreements>

If any of the information below about your tax residence or FATCA classification changes in the future, please ensure you advise us of these changes promptly. If you have any questions about how to complete this form, please contact your tax advisor.

Please note that where there are joint account holders each investor is required to complete a separate Self-Certification form.

SECTION 1: Account Holder Identification:

Name	<input type="text"/>	Date of Birth	<input type="text"/>
Country of Birth	<input type="text"/>		<input type="text"/>
Fund Name	<input type="text"/>		<input type="text"/>

Permanent Residence Address:

Number & Street	<input type="text"/>
City/Town	<input type="text"/>
State/Province/County	<input type="text"/>
Post Code	<input type="text"/>
Country	<input type="text"/>

Mailing address (if different from above):

Number & Street	<input type="text"/>
City/Town	<input type="text"/>
State/Province/County	<input type="text"/>
Post Code	<input type="text"/>
Country	<input type="text"/>

SECTION 2: DECLARATION OF U.S. CITIZENSHIP OR U.S. RESIDENCE FOR TAX PURPOSES

Please tick either (a) or (b) or (c) and complete as appropriate.

(a) I confirm that I am a U.S. citizen and/or resident in the U.S. for tax purposes (green card holder or resident under the substantial presence test)

and my U.S. federal taxpayer identifying number (U.S. TIN) is as follows: _____

(b) I confirm that I was born in the U.S. (or a U.S.) but am no longer a U.S. citizen as I have voluntarily surrendered my citizenship as evidenced by the attached documents. OR (c) I confirm that I am not a U.S. citizen or resident in the U.S. for tax purposes.



Individual Self-Certification

*Complete section 3 if you have non-U.S. tax residencies.

SECTION 3: DECLARATION OF TAX RESIDENCY (OTHER THAN U.S.)*

I hereby confirm that I am, for tax purposes, resident in the following countries (indicate the tax reference number type and number applicable in each country).

COUNTRY/COUNTRIES OF TAX RESIDENCY	TAX REFERENCE NUMBER TYPE:	TAX REFERENCE NUMBER

SECTION 4: DECLARATION AND UNDERTAKINGS

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.

I undertake to advise the recipient promptly and provide an updated Self-Certification form within **30 days** where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

Where legally obliged to do so, I hereby consent to the recipient sharing this information with the relevant tax information authorities.

Authorised Signature: _____

Name and Surname	<input type="text"/>
Position / Title	<input type="text"/>
Date	<input type="text" value="MMDDYYYY"/>



Risk Considerations

SPECIAL RISK CONSIDERATIONS FOR INVESTMENTS IN SUPERFUND GREEN GOLD SPC

■ DATA	First applicant	<input type="checkbox"/> Ms.	<input type="checkbox"/> Mr.	<input type="checkbox"/> Company	Second (joint) applicant	<input type="checkbox"/> Ms.	<input type="checkbox"/> Mr.	<input type="checkbox"/> Company
	Surname/Company							
	First name							
	Residence address							
	Street							
	ZIP code, city							
Country								

In addition to the trading results of the Superfund trading strategy, the value of shares of Superfund Green Gold SPC will be directly affected by movements in the US Dollar price of gold. This means that during times when a full hedge is implemented a 5% increase in the USD gold price will result in a 5% increase in the Net Asset Value (NAV) of your Superfund Green Gold SPC investment; conversely, a 5% decrease in the USD gold price will result in a 5% decrease in NAV.

If general trading restrictions of the offering documents make it impossible to fully hedge the assets of Superfund Green Gold SPC against the gold price, then the gold hedge position will be held as close as possible to the desired full hedge, without blocking assets that are necessary for the generally applied Superfund trading strategy, which will always retain priority within the trading process. NO GUARANTEE CAN BE GIVEN THAT THE TOTAL INVESTMENT PROCEEDS OF Superfund Green Gold SPC ARE ALWAYS FULLY HEDGED TO THE GOLD PRICE.

Since the price of gold may fluctuate substantially over short periods of time, Superfund Green Gold SPC may be more volatile than other types of investments. The price of gold is affected by numerous uncontrollable factors, including, but not limited to:

- Unpredictable monetary policies and economical and political conditions in countries throughout the world.
- Investor expectations with respect to the future rates of inflation and movements in world equity, financial and property markets.
- Global gold supply and demand, which is influenced by such factors as mine production and net forward selling activities by gold producers, central bank purchases and sales, jewellery demand and the supply of recycled jewellery, net investment demand and industrial demand.
- How much of the worldwide supply is held by large holders, such as governmental bodies and central banks; for example, if Russia or another large holder decided to sell some of its gold reserves, the supply would increase and the price would generally decrease.
- Interest rates and currency exchange rates, particularly the strength of and confidence in the US Dollar; and Investment and trading activities of hedge funds, commodity funds and other speculators.
- The location of reserves and mining operations among the major producers, as the economic, political, or other conditions affecting one of the major producers could have a substantial effect on the price of gold.
- Environmental, labour, and other costs in mining and production, as well as changes in laws relating to mining, production, or sales.

A decrease in the USD price of futures and forwards linked to gold, due to these risk factors or other potential factors that may directly affect the price of gold, will have a direct effect on the NAV of Superfund Green Gold SPC. DEPRECIATION OF THE GOLD PRICE WILL DIRECTLY DECREASE THE NET ASSET VALUE (IN USD AND OTHER CURRENCIES) OF SUPERFUND GREEN GOLD SPC.

I/We expressly confirm having read and understood this declaration.

Date Signature of 1st applicant

Date Signature of 2nd applicant



Individual Profile

INFORMATION FOR THE ADMINISTRATOR

DATA

First applicant	<input type="checkbox"/> Ms. <input type="checkbox"/> Mr.	Second (joint) applicant	<input type="checkbox"/> Ms. <input type="checkbox"/> Mr.
Surname	<input type="text"/>	<input type="text"/>	<input type="text"/>
First name	<input type="text"/>	<input type="text"/>	<input type="text"/>
Nationality	<input type="text"/>	Date of birth	<input type="text"/>
Language	<input type="text"/>	Date of birth	<input type="text"/>
Occupation	<input type="text"/>	<input type="text"/>	<input type="text"/>
Residence address	<input type="text"/>	<input type="text"/>	<input type="text"/>
ZIP code, city	<input type="text"/>	<input type="text"/>	<input type="text"/>
Country	<input type="text"/>	<input type="text"/>	<input type="text"/>
Legal domicile	(Please provide exact residential address for tax reporting purposes – no P.O. boxes)		
ZIP code, city	<input type="text"/>	<input type="text"/>	<input type="text"/>
Country	<input type="text"/>	<input type="text"/>	<input type="text"/>
Telephone Home	<input type="text"/>	<input type="text"/>	<input type="text"/>
Office	<input type="text"/>	<input type="text"/>	<input type="text"/>
Cellular	<input type="text"/>	<input type="text"/>	<input type="text"/>
Fax	<input type="text"/>	<input type="text"/>	<input type="text"/>
E-Mail	<input type="text"/>	<input type="text"/>	<input type="text"/>

DETAILS

First applicant	Second (joint) applicant
Nature of business (If retired please state this but also note your previous nature of business that provided your main source of wealth as below.)	
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
Source of wealth	
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
Political Connections	
<input type="checkbox"/> No <input type="checkbox"/> Yes	<input type="checkbox"/> No <input type="checkbox"/> Yes
If your response was "Yes", please list any important public positions which you or any member of your family may hold (i.e. head of state, minister, influential public official, judge, military commander):	
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="checkbox"/> I/we represent that I/we are not a U.S. Person/Canadian Person, and as such, represent that I/we are not a citizen/resident or entity organized under the law of the United States of America/Canada as described in art. 11 of the Final Declaration hereafter.	

Date _____ Signature of 1st applicant _____

Date _____ Signature of 2nd applicant _____

